

# On Interpolation and Symbol Elimination in Theory Extensions

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IJCAR 2016, Coimbra, 28.06.2016

# Motivation

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SMT solvers: check satisfiability/unsatisfiability

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**Application:** Verification (e.g. Invariant checking, BMC)

**More interesting:** Beyond yes/no answers

- Consider parametric systems
- Infer constraints on parameters (which can be values or functions) which guarantee that certain properties are met.  
(e.g. guarantee the unsatisfiability of clauses in theory extensions)

**Application:** Verification/synthesis of parametric systems

[VSS 2010, 2013] use hierarchical reasoning + quantifier elimination

# Goals

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## Symbol elimination

⇒ **Synthesis of constraints**

⇒ **Ground Interpolation**

## Main idea:

- Use quantifier elimination (QE)
- If theory does not allow QE, but its model completion does then use QE in the model completion.

- For theory extensions  $\mathcal{T}_0 \subseteq \mathcal{T}_0 \cup \mathcal{K}$

Instantiation + Hierarchical reduction to reasoning in  $\mathcal{T}_0$   
+ QE in  $\mathcal{T}_0$  or its model completion.

# Overview

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- **Definitions** (QE, model completions, AP, ... )
- **Ground Interpolation** (w.r.t. a theory  $\mathcal{T}$  / its model completion  $\mathcal{T}^*$ )
- **Theory Extensions, Locality**
- **Symbol Elimination in Theory Extensions**
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**Examples:** The following theories allow QE, hence are model complete.

- Presburger arithmetic with congruence mod.  $n$ ,
- Rational linear arithmetic,
- The theories of real closed fields and of algebraically closed fields,
- The theory of finite fields,
- The theory of acyclic lists in the signature  $\{\text{car}, \text{cdr}, \text{cons}\}$

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$\mathcal{T}^*$  is a **model companion** of  $\mathcal{T}$  if (i)  $\mathcal{T}$  and  $\mathcal{T}^*$  are co-theories

(ii)  $\mathcal{T}^*$  is model complete.

$\mathcal{T}^*$  is a **model completion** of  $\mathcal{T}$  iff (i), (ii) and (iii) hold.

(iii) for every model  $\mathcal{A}$  of  $\mathcal{T}$ ,  $\mathcal{T}^* \cup \Delta(\mathcal{A})$  is a complete theory.

( $\Delta(\mathcal{A})$  is the diagram of  $\mathcal{A}$ ).

# Ground Interpolation

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$\mathcal{T}$  has the **ground interpolation** property if for every ground formulae

$$A(\bar{c}, \bar{a}) \quad \text{and} \quad B(\bar{c}, \bar{b}) \quad \text{with} \quad A(\bar{c}, \bar{a}) \wedge B(\bar{c}, \bar{b}) \models_{\mathcal{T}} \perp$$

there exists a ground formula  $I(\bar{c})$  such that

$$A(\bar{c}, \bar{a}) \models_{\mathcal{T}} I(\bar{c}) \quad \text{and} \quad B(\bar{c}, \bar{b}) \wedge I(\bar{c}) \models_{\mathcal{T}} \perp .$$

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$\mathcal{T}$  (with signature  $\Sigma$ ) has the **general ground interpolation** property if for every signature  $\Sigma'$  disjoint from  $\Sigma$  and every ground  $\Sigma \cup \Sigma'$ -formulae

$$A(\bar{c}, \bar{h}, \bar{a}, \bar{f}) \quad \text{and} \quad B(\bar{c}, \bar{h}, \bar{b}, \bar{g}) , \quad \text{with} \quad A \wedge B \models_{\mathcal{T} \cup \text{UIF}_{\Sigma'}} \perp$$

there exists a ground formula  $I(\bar{c}, \bar{h})$ , such that:

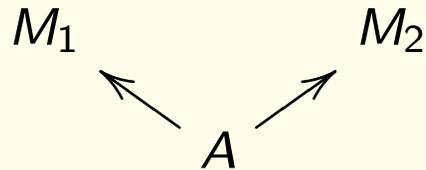
(i) all predicate, constants and function symbols from  $\Sigma'$  occurring in  $I$  also occur in  $A$  and  $B$ ;

(ii)  $A(\bar{c}, \bar{h}, \bar{a}, \bar{f}) \models_{\mathcal{T} \cup \text{UIF}_{\Sigma'}} I(\bar{c}, \bar{h})$  and  $B(\bar{c}, \bar{h}, \bar{b}, \bar{g}) \wedge I(\bar{c}, \bar{h}) \models_{\mathcal{T} \cup \text{UIF}_{\Sigma'}} \perp$

# Amalgamation

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A theory  $\mathcal{T}$  has the **sub-amalgamation property** (SAP) iff

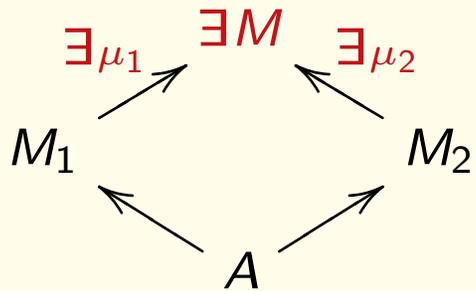


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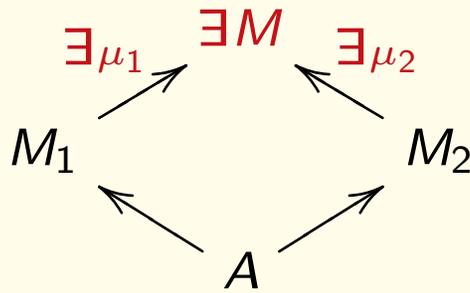
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and the **strong sub-amalgamation property** (strong SAP) if  $\mu_1, \mu_2, M$  can be chosen s.t.:

if  $\mu_1(m_1) = \mu_2(m_2)$ , then there exists an element  $a \in A$  s.t.  $m_1 = m_2 = a$ .

# Equality Interpolating

**Definition** [Brutomesso, Ghilardi, Ranise 2014]

A theory  $\mathcal{T}$  is **equality interpolating** iff it has the ground interpolation property and has the property that

for all tuples  $x = x_1, \dots, x_n$ ,  $y^1 = y_1^1, \dots, y_{n_1}^1$ ,  $z^1 = z_1^1, \dots, z_{m_1}^1$ ,  
 $y^2 = y_1^2, \dots, y_{n_2}^2$ ,  $z^2 = z_1^2, \dots, z_{m_2}^2$  of constants,

and for every pair of ground formulae  $A(x, z^1, y^1)$  and  $B(x, z^2, y^2)$  s.t.:

$$A(x, z^1, y^1) \wedge B(x, z^2, y^2) \models_{\mathcal{T}} \bigvee_{i=1}^{n_1} \bigvee_{j=1}^{n_2} y_i^1 = y_j^2$$

there exists a tuple of terms containing only the constants in  $x$ ,  $v(x) = v_1, \dots, v_k$  such that

$$A(x, z^1, y^1) \wedge B(x, z^2, y^2) \models_{\mathcal{T}} \bigvee_{i=1}^{n_1} \bigvee_{u=1}^k y_i^1 = v_u \vee \bigvee_{j=1}^{n_2} \bigvee_{u=1}^k v_u = y_j^2$$

# Ground Interpolation and Quantifier Elimination

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**Theorem** [Brutomesso, Ghilardi, Ranise 2014]

$\mathcal{T}$  SAP  $\Leftrightarrow$  ground interpolation  
 $\Leftrightarrow$  ( Strong SAP iff equality interpolating)  
 $\mathcal{T}$  Strong SAP  $\Leftrightarrow$  general ground interpolation  
 $\mathcal{T}$  universal + QE  $\Rightarrow$  equality interpolating

**Theorem**

$\mathcal{T}$  universal and allows QE  $\Rightarrow$   $\mathcal{T}$  has **general ground interpolation**.

**Proof:**  $\mathcal{T}$  allows QE  $\Rightarrow$   $\mathcal{T}$  has ground interpolation

$\mathcal{T}$  universal and allows QE  $\Rightarrow$   $\mathcal{T}$  equality interpolating

Then  $\mathcal{T}$  has strong SAP, hence general ground interpolation.

# Model Companions and Ground Interpolation

**Theorem** [Bacsich75, ChangKeisler]

$\mathcal{T}$  universal. Then  $\mathcal{T}$  has AP  $\Leftrightarrow \mathcal{T}$  has ground interpolation

$\mathcal{T}^*$  model companion of  $\mathcal{T}$   $\Leftrightarrow$  (i)  $\mathcal{T}$  has AP iff (ii)  $\mathcal{T}^*$  model completion of  $\mathcal{T}$

$+ \mathcal{T}$  universal  $\Rightarrow$  (i), (ii) equiv to  $\mathcal{T}^*$  allows QE

**Theorem.** Let  $\mathcal{T}$  be a theory and  $\mathcal{T}^*$  a model companion of  $\mathcal{T}$ .

1.  $\mathcal{T}$  universal and has ground interpolation  $\Rightarrow \mathcal{T}^*$  allows QE.
2.  $\mathcal{T}^*$  has ground interpolation  $\Rightarrow \mathcal{T}$  has ground interpolation;  
ground interpolants computed w.r.t.  $\mathcal{T}^*$  are interpolants w.r.t.  $\mathcal{T}$ .
3.  $\mathcal{T}$  universal.  $\mathcal{T}$  has ground interpolation  $\Leftrightarrow \mathcal{T}^*$  has ground interpolation.
4.  $\mathcal{T}^*$  allows QE  $\Rightarrow \mathcal{T}$  has ground interpolation.

**Proof:** Follows from  $\mathcal{T}_{\forall} = \mathcal{T}_{\forall}^*$ .

# Model Companions and Ground Interpolation

**Theorem** [Bac  
 $\mathcal{T}$  universal.  $\mathcal{T}$   
 $\mathcal{T}^*$  model comp  
+  $\mathcal{T}$  universal

## Examples of theories with ground interpolation

- The pure theory of equality (model completion: the theory of an infinite set, which allows QE).
- The theory of total orderings (model completion: the theory of dense total orders without endpoints, which allows QE).
- The theory of Boolean algebras (model completion: the theory of atomless Boolean algebras, which allows QE).
- The theory of fields (model completion: the theory of algebraically closed fields, which allows QE).

of  $\mathcal{T}$

**Theorem.** Let

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•  $A: d \leq a_1 \wedge a \leq c \wedge d_1 \leq a \quad \mathcal{T} = \text{TOrd}$

$B: b \leq d \wedge b_1 \not\leq c \wedge b_1 \leq d_1$

- $I = d_1 \leq c$  obtained by QE in  $\text{TOrd}^*$   
 from  $\exists x, x_1 (d \leq x_1 \wedge x \leq c \wedge d_1 \leq x)$

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# Local Theory Extensions

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Theory extension:  $\mathcal{T}_0 \subseteq \mathcal{T} = \mathcal{T}_0 \cup \mathcal{K}$

$\mathcal{K}$  set of clauses axiomatizing properties of extension functions.

(Loc)  $\mathcal{T}_0 \subseteq \mathcal{T}$  is **local**, if for ground clauses  $G$ ,  
 $\mathcal{T}_0 \cup \mathcal{K} \cup G \models \perp$  iff  $\mathcal{T}_0 \cup \mathcal{K}[G] \cup G \models \perp$

Extension to  $\Psi$ -locality, where  $\Psi$  is a closure operator telling which instances  $\mathcal{K}[\Psi(G)]$  to consider.

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**Hierarchical reasoning:** [VS 2005]

- purify  $\mathcal{K}[G]$  and  $G$   $\Rightarrow \mathcal{K}_0 \wedge G_0 \wedge \text{Def}$ 
  - $\mapsto$  definitions for terms starting with extension functions
- reduce to satisfiability in  $\mathcal{T}_0$   $\Rightarrow \mathcal{K}_0 \wedge G_0 \wedge \text{Con}[G]_0$

# Symbol Elimination in Theory Extensions

$\Pi_0 = (\Sigma_0, \text{Pred})$ ,  $\mathcal{T}_0$   $\Pi_0$ -theory,  $\Sigma_P$  parameters,  $\Sigma \cap (\Sigma_0 \cup \Sigma_P) = \emptyset$

- $\mathcal{K}$   $\Pi_0 \cup \Sigma_P \cup \Sigma$ -clauses, all variables also below functions in  $\Sigma_1 = \Sigma_P \cup \Sigma$ .
- $G$  ground clauses,  $T$  ground terms over  $\Pi_0 \cup \Sigma_P \cup \Sigma \cup C$  (finite)

**Step 1:**  $\mathcal{K}[T] \cup G \rightsquigarrow \mathcal{K}_0 \cup G_0 \cup \text{Con}[G]_0 =: G_1$  (purification,  $\Pi_0^C$  clauses).

**Step 2:**  $G_1(\bar{c}_p, \bar{c}_f, \bar{c}) \rightsquigarrow \exists \bar{x} G_1(\bar{c}_p, \bar{c}_f, \bar{x})$

- $\bar{c}_f$ ,  $f \in \Sigma_P$ , where  $c_f = f \in \Sigma_P$  or introduced by  $c_f := f(c_1, \dots, c_k)$
- $\bar{c}_p$  occurring as arguments of functions in  $\Sigma_P$  in such definitions.
- $\bar{c}$  be the remaining variables.

**Step 3:** Construct  $\Gamma_1(\bar{c}_p, \bar{c}_f) \equiv_{\mathcal{T}_0} \exists \bar{x} G_1(\bar{c}_p, \bar{c}_f, \bar{x})$  (use QE in  $\mathcal{T}_0$ )

**Step 4:**  $\Gamma_1(\bar{c}_p, \bar{c}_f) \rightsquigarrow \Gamma_2(\bar{c}_p) \rightsquigarrow \exists \bar{y} \Gamma_2(\bar{y})$  ( $c_f \rightsquigarrow f(c_1, \dots, c_k)$ ,  $c_p \rightsquigarrow y$ ).

**Step 5:** Negate:  $\forall \bar{y} \Gamma_T(\bar{y}) := \neg(\exists \bar{y} \Gamma_2(\bar{y})) = \forall \bar{y} \neg \Gamma_2(\bar{y})$ .

# Example

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$\mathcal{T}_0$ : dense total orderings without endpoints.  $\Sigma_P = \{f, h, c\}$  and  $\Sigma = \{g\}$ .

$\mathcal{K} := \{\forall x(x \leq c \rightarrow g(x) = f(x)), \forall x(c < x \rightarrow g(x) = h(x))\}$ .

**Task:** Generate constraints on parameters  $(f, h, c)$  which ensure that  $g$  is monotone, e.g. satisfies  $\text{Mon}(g) : \forall x, y(x \leq y \rightarrow g(x) \leq g(y))$ ,

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**Step 1:**  $\mathcal{T}_0 \cup \mathcal{K}[G] \cup G \rightsquigarrow \mathcal{T}_0 \cup \mathcal{K}_0 \cup \text{Con}_0 \cup G_0$  (purification)

$\text{Def} = \{g_1 = g(c_1), g_2 = g(c_2), f_1 = f(c_1), f_2 = f(c_2), h_1 = h(c_1), h_2 = h(c_2)\}$

$\mathcal{K}_0 \cup \text{Con}_0 \cup G_0 := \{$   
     $c_1 \leq c \rightarrow g_1 = f_1, c_2 \leq c \rightarrow g_2 = f_2, c < c_1 \rightarrow g_1 = h_1,$   
     $c < c_2 \rightarrow g_2 = h_2, c_1 = c_2 \rightarrow g_1 = g_2, c_1 = c_2 \rightarrow f_1 = f_2,$   
     $c_1 = c_2 \rightarrow h_1 = h_2, c_1 \leq c_2, g_1 > g_2\}$

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**Step 2:**  $\Sigma_P = \{f, h, c\}$ . To eliminate  $g$  we replace  $g_1, g_2$  with existentially quantified variables  $z_1, z_2$ .

We obtain  $\exists z_1, z_2 G_1(c_1, c_2, c, f_1, f_2, h_1, h_2, z_1, z_2) =$

$$\begin{aligned} \exists z_1, z_2 (c_1 \leq c \rightarrow z_1 = f_1 \wedge c_2 \leq c \rightarrow z_2 = f_2 \wedge c_1 = c_2 \rightarrow f_1 = f_2 \wedge c_1 = c_2 \rightarrow h_1 = h_2 \\ c < c_1 \rightarrow z_1 = h_1 \wedge c < c_2 \rightarrow z_2 = h_2 \wedge c_1 = c_2 \rightarrow z_1 = z_2 \wedge c_1 \leq c_2 \wedge z_1 > z_2) \end{aligned}$$

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**Step 3:** After simplification followed by QE for dense total orderings without endpoints we obtain the formula  $\Gamma_1(c_1, c_2, c, f_1, f_2, h_1, h_2)$

$$\begin{aligned} & ( (c_1 \leq c \wedge c_2 \leq c \wedge c_1 \leq c_2 \wedge f_1 > f_2 \wedge c_1 \neq c_2) \vee \\ & (c_1 \leq c \wedge c < c_2 \wedge c_1 \leq c_2 \wedge f_1 > h_2 \wedge c_1 \neq c_2) \vee \\ & (c < c_1 \wedge c_2 \leq c \wedge c_1 \leq c_2 \wedge h_1 > f_2 \wedge c_1 \neq c_2) \vee \\ & (c < c_1 \wedge c < c_2 \wedge c_1 \leq c_2 \wedge h_1 > h_2 \wedge c_1 \neq c_2) ) \end{aligned}$$

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**Step 4:** We construct the formula  $\Gamma_2(c_1, c_2, c)$  from  $\Gamma_1$  by replacing  $f_i$  by  $f(c_i)$  and  $h_i$  by  $h(c_i)$ ,  $i = 1, 2$ .

We obtain (after minor simplification/rearrangement for facilitating reading):

$((c_1 < c_2 \leq c \wedge f(c_1) > f(c_2)) \vee (c_1 \leq c < c_2 \wedge f(c_1) > h(c_2)) \vee (c < c_1 < c_2 \wedge h(c_1) > h(c_2)))$

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**Step 5:** Negate the formula obtained after Step 4.

$$\forall z_1, z_2 \Gamma_T(z_1, z_2) = \forall z_1, z_2 [(z_1 < z_2 \leq c \rightarrow f(z_1) \leq f(z_2)) \wedge \\ (z_1 \leq c < z_2 \rightarrow f(z_1) \leq h(z_2)) \wedge \\ (c < z_1 < z_2 \rightarrow h(z_1) \leq h(z_2))].$$

# Symbol Elimination in Theory Extensions

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**Theorem.**  $\mathcal{T}_0$  allows QE.

After Step 5 we obtain a universal  $\Pi_0 \cup \Sigma_P$ -formula  $\forall \bar{x} \Gamma_T(\bar{x})$  with:

- For every model  $\mathcal{A}$  of  $\mathcal{T}_0 \cup \mathcal{K}$  and  $\forall \bar{y} \Gamma_T(\bar{y})$ :  $\mathcal{A} \models \neg G$ .
- $\mathcal{T}_0 \cup \forall \bar{y} \Gamma_T(\bar{y}) \cup \mathcal{K} \cup G$  is unsatisfiable.

**Theorem.** If  $\mathcal{T}_0 \subseteq \mathcal{T}_0 \cup \mathcal{K}$  local [ $\mathcal{K}$  is flat and linear] and partial models can be completed to total ones **with same support** then

$\forall y \Gamma_G(y)$  **is the weakest** among the univ. formulae  $\Gamma$  with  $\mathcal{T}_0 \cup \Gamma \cup \mathcal{K} \cup G \models \perp$ .  
(is entailed by every such formula  $\Gamma$ ).

# Symbol Elimination in Theory Extensions

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$\mathcal{T}_0$  has a model completion with  $\mathcal{T}_0 \subseteq \mathcal{T}_0^*$  such that:

- (i) every model of  $\mathcal{T}_0 \cup \mathcal{K}$  embeds into a model of  $\mathcal{T}_0^* \cup \mathcal{K}$ , and
- (ii)  $\mathcal{T}_0^*$  allows quantifier elimination.

**Theorem.** After Step 5 we obtain a universal  $\Pi_0 \cup \Sigma_P$ -formula  $\forall \bar{x} \Gamma_T(\bar{x})$  with:

- For every model  $\mathcal{A}$  of  $\mathcal{T}_0 \cup \mathcal{K}$  and  $\forall \bar{y} \Gamma_T(\bar{y})$ :  $\mathcal{A} \models \neg G$ .
- $\mathcal{T}_0 \cup \forall \bar{y} \Gamma_T(\bar{y}) \cup \mathcal{K} \cup G$  is unsatisfiable.

In this case we cannot guarantee that  $\forall y \Gamma_G(y)$  is the weakest set of constraints in the set of all  $\Gamma$  with  $\mathcal{T}_0 \cup \Gamma \cup \mathcal{K} \cup G \models \perp$ .

# Example

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$\mathcal{T}_0$ : theory of **total orderings**.  $\Sigma_P = \{f, h, c\}$  and  $\Sigma = \{g\}$ .

$\mathcal{K} := \{\forall x(x \leq c \rightarrow g(x) = f(x)), \forall x(c < x \rightarrow g(x) = h(x))\}$ .

**Task:** Generate constraints on parameters  $(f, h, c)$  which ensure that  $g$  is monotone, e.g. satisfies  $\text{Mon}(g) : \forall x, y(x \leq y \rightarrow g(x) \leq g(y))$ ,

$\mathcal{T}_0^*$  theory of **dense total orders without endpoints**.

(i) every model of  $\mathcal{T}_0 \cup \mathcal{K}$  embeds into a model of  $\mathcal{T}_0^* \cup \mathcal{K}$ , and

(ii)  $\mathcal{T}_0^*$  allows quantifier elimination.

The formula  $\forall z_1, z_2 \Gamma_T(z_1, z_2)$  constructed before ensures that  $g$  is monotone also in this case.

# Ground Interpolation in Theory Extensions

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- [VSS 2006, 2008] Identify classes of local extensions in which ground interpolants can be computed hierarchically.
- [Totla, Wies, 2013] Link between hierarchical ground interpolation to an amalgamability property for partial algebras.

**Restriction:** convexity of base theory

## Here:

- Extend these results, avoiding the restriction to convex base theories.
- Explicitly point out all conditions needed for hierarchical interpolation.
- Relate symbol elimination to computation of ground interpolants.

# Example

---

$$\mathcal{T} = \text{TOrd} \cup \mathcal{K}$$

where  $\mathcal{K}: \forall x (x \leq g(y) \rightarrow f(x) \leq y)$

$$\forall x (x \leq y \rightarrow g(x) \leq g(y))$$

$$\forall x (x \leq y \rightarrow f(x) \leq f(y))$$

$G = A \wedge B$	$\mathcal{K}[G] \wedge \text{Con}[G]$
$d \leq g(a) \wedge a \leq c$	$b \leq g(a) \rightarrow f(b) \leq a$
$b \leq d \wedge f(b) \not\leq c$	$a \triangleleft a \rightarrow g(a) \triangleleft g(a)$ (redundant)
	$b \triangleleft b \rightarrow f(b) \triangleleft f(b)$ $\triangleleft \in \{\leq, =\}$

# Example

---

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	$b \triangleleft b \rightarrow f(b) \triangleleft f(b) \quad \triangleleft \in \{\leq, =\}$

# Example

$$\mathcal{T} = \text{TOrd} \cup \mathcal{K}$$

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$$\forall x (x \leq y \rightarrow g(x) \leq g(y))$$

$$\forall x (x \leq y \rightarrow f(x) \leq f(y))$$

Def	$G = A \wedge B$	$\mathcal{K}[G] \wedge \text{Con}[G]$
$g(a) = a_1$	$d \leq g(a) \wedge a \leq c$	$b \leq g(a) \rightarrow f(b) \leq a$
$f(b) = b_1$	$b \leq d \wedge f(b) \not\leq c$	

# Example

$$\mathcal{T} = \text{TOrd} \cup \mathcal{K},$$

$$A \wedge B \models_{\mathcal{T}} \perp$$

where  $\mathcal{K}$ :  $\forall x (x \leq g(y) \rightarrow f(x) \leq y)$

$$\forall x (x \leq y \rightarrow g(x) \leq g(y))$$

$$\forall x (x \leq y \rightarrow f(x) \leq f(y))$$

Def	$G_0 = A_0 \wedge B_0$	$\mathcal{K}[A, B]_0 \wedge \text{Con}[A, B]_0$
$g(a) = a_1$	$A_0 : d \leq a_1 \wedge a \leq c$	$b \leq a_1 \rightarrow b_1 \leq a$ (unsatisfiable)
$f(b) = b_1$	$B_0 : b \leq d \wedge b_1 \not\leq c$	

# Example

$$\mathcal{T} = \text{TOrd} \cup \mathcal{K}$$

$$A \wedge B \models_{\mathcal{T}} \perp$$

Def	$A_0 \wedge B_0$	$\mathcal{K}[A, B]_0 \wedge \text{Con}[A, B]_0$
$g(a)=a_1$	$A_0 : d \leq a_1 \wedge a \leq c$	$b \leq a_1 \rightarrow b_1 \leq a$
$f(b)=b_1$	$B_0 : b \leq d \wedge b_1 \not\leq c$	

$$A_0 \wedge B_0 \models b \leq a_1$$

$$B_0 \models b \leq d$$

$$A_0 \models d \leq a_1$$

# Example

$$\mathcal{T} = \text{TOrd} \cup \mathcal{K}$$

$$A \wedge B \models_{\mathcal{T}} \perp$$

Def	$A_0 \wedge B_0$	$\mathcal{K}[A, B]_0 \wedge \text{Con}[A, B]_0$
$g(a)=a_1$	$A_0 : d \leq a_1 \wedge a \leq c$	$b \leq a_1 \rightarrow b_1 \leq a$
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$$A_0 \wedge B_0 \models b \leq a_1$$

$$B_0 \models b \leq d$$

$$A_0 \models d \leq a_1$$

Consider new instances of  $\mathcal{K}$

$$b \leq d \rightarrow f(b) \leq f(d)$$

$$d \leq g(a) \rightarrow f(d) \leq a$$

# Example

$$\mathcal{T} = \text{TOrd} \cup \mathcal{K}$$

$$A \wedge B \models_{\mathcal{T}} \perp$$

Def	$A_0 \wedge B_0$	$\mathcal{K}[A, B]_0 \wedge \text{Con}[A, B]_0$
$g(a) = a_1$	$A_0 : d \leq a_1 \wedge a \leq c$	$b \leq a_1 \rightarrow b_1 \leq a$
$f(b) = b_1$	$B_0 : b \leq d \wedge b_1 \not\leq c$	$b \leq d \rightarrow b_1 \leq d_1$
$f(d) = d_1$		$d \leq a_1 \rightarrow d_1 \leq a$

$$A_0 \wedge B_0 \models b \leq a_1$$

$$B_0 \models b \leq d$$

$$A_0 \models d \leq a_1$$

Consider new instances of  $\mathcal{K}$

$$b \leq d \rightarrow f(b) \leq f(d)$$

$$d \leq g(a) \rightarrow f(d) \leq a$$

# Example

$$\mathcal{T} = \text{TOrd} \cup \mathcal{K}$$

$$A \wedge B \models_{\mathcal{T}} \perp$$

$$W(A, B) = \text{st}(A) \cup \{d, f(d)\}$$

$$W(B, A) = \text{st}(B) \cup \{d, f(d)\}$$

Def	$A_0 \wedge B_0$	$\mathcal{K}[A, B]_0 \wedge \text{Con}[A, B]_0$
$g(a) = a_1$	$A_0 : d \leq a_1 \wedge a \leq c$	$b \leq a_1 \rightarrow b_1 \leq a$
$f(b) = b_1$	$B_0 : b \leq d \wedge b_1 \not\leq c$	$b \leq d \rightarrow b_1 \leq d_1$
$f(d) = d_1$		$d \leq a_1 \rightarrow d_1 \leq a$

$$A_0 \wedge B_0 \models b \leq a_1$$

$$B_0 \models b \leq d$$

$$A_0 \models d \leq a_1$$

Consider new instances of  $\mathcal{K}$

$$b \leq d \rightarrow f(b) \leq f(d)$$

$$d \leq g(a) \rightarrow f(d) \leq a$$

# Example

$$\mathcal{T} = \text{TOrd} \cup \mathcal{K}$$

$$A \wedge B \models_{\mathcal{T}_1} \perp$$

Def	$A_0 \wedge B_0$	$(\mathcal{K}[A, B]_0 \wedge \text{Con}[A, B]_0)_{\text{sep}}$
$g(a) = a_1$	$A_0 : d \leq a_1 \wedge a \leq c$	$b \leq a_1 \rightarrow b_1 \leq a$
$f(b) = b_1$	$B_0 : b \leq d \wedge b_1 \not\leq c$	$b \leq d \rightarrow b_1 \leq d_1$
$f(d) = d_1$		$d \leq a_1 \rightarrow d_1 \leq a$

Interpolant (w.r.t. TOrd):  $l_0 = d_1 \leq c$

⇓

Interpolant (w.r.t. TOrd  $\cup$   $\mathcal{K}$ ) of  $A \wedge B$ :  $I = f(d) \leq c$

# W-separability

**Definition** [Totla & Wies 2013]

$\mathcal{T} = \mathcal{T}_0 \cup \mathcal{K}$  is  $W$ -separable if for all sets of ground clauses  $A$  and  $B$ ,

$\mathcal{T}_0 \cup \mathcal{K} \cup A \cup B \models \perp$  iff  $\mathcal{T}_0 \cup \mathcal{K}[W(A, B)] \cup A \cup \mathcal{K}[W(B, A)] \cup B \models \perp$ .

Notation:  $W(A, B)$  for  $W(\text{st}(A), \text{st}(B))$ .

$(T_A, T_B) \mapsto W(T_A, T_B)$

“amalgamation closure”

$T_A$  and  $T_B$  finite sets of ground terms  $\Rightarrow W(T_A, T_B)$  finite set of  $T_A$ -pure ground terms; contains  $\text{st}_{\mathcal{K}}(T_A)$

- $W$  is monotone and a closure operator;
- $W$  is compatible with any map  $h$  between constants s.t.  $h(c_1) \neq h(c_2)$  whenever  $c_1 \in \text{st}(T_A)$ ,  $c_2 \in \text{st}(T_B)$  not shared between  $T_A$  and  $T_B$ .

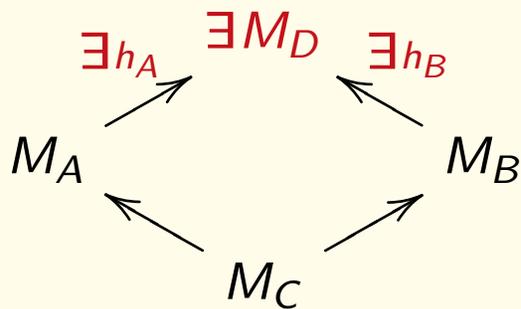
# $W$ -Separability and Partial Amalgamation

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**Theorem** [Totla, Wies 2013]

$W$ -separability  $\Rightarrow$   $\Psi$ -Locality,  $\Psi$  described with the help of  $W$

**Theorem** [Totla, Wies 2013] Partial  $W$ -amalgamability  $\Rightarrow$   $W$ -separability.



total structure

partial structures; defined terms closed under  $W$

partial substructure

# $W$ -Separability and Partial Amalgamation

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## Theorem [Totla, Wies 2013]

$W$ -separability  $\Rightarrow$   $\Psi$ -Locality,  $\Psi$  described with the help of  $W$

Theorem [Totla, Wies 2013] Partial  $W$ -amalgamability  $\Rightarrow$   $W$ -separability.

## Theorems

- Implication holds if we assume  $W$ -amalgamability for partial structures **with the same  $\Pi_0$ -reduct**.
- $W$ -separability & Interpolants contain terms in  $W(A, B) \cap W(B, A) \Rightarrow$   $W$ -amalgamability for partial structures **with the same  $\Pi_0$ -reduct**.
- Interpolants can also be computed using QE (in  $\mathcal{T}_0$  or  $\mathcal{T}_0^*$ ) or symbol elimination

# Conclusion

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- **Ground Interpolation/quantifier elimination/model completions**
- **Symbol elimination in theory extensions**  $\mathcal{T}_0 \subseteq \mathcal{T}_0 \cup \mathcal{K}$ .

**Given:**  $G$  set of ground clauses,  $T$  set of terms.

**Task:** Find constraint on parameters  $\forall x \Gamma_T(x)$  s.t.  $\mathcal{T}_0 \cup \mathcal{K} \cup \forall x \Gamma_T(x) \cup G \models \perp$ .

- the method uses hierarchical reasoning + QE in  $\mathcal{T}_0$
  - for local theory extensions obtain **weakest conditions**
  - the method can be used also for theories that do not allow QE  
provided they have a model completion that has QE.
- **Hierarchical interpolation in local theory extensions**